

# Retirement Services

# Self-Directed

# 401(k) Account

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Expand Retirement Options with a Brokerage Window Account for 401(k), 403(b), 457

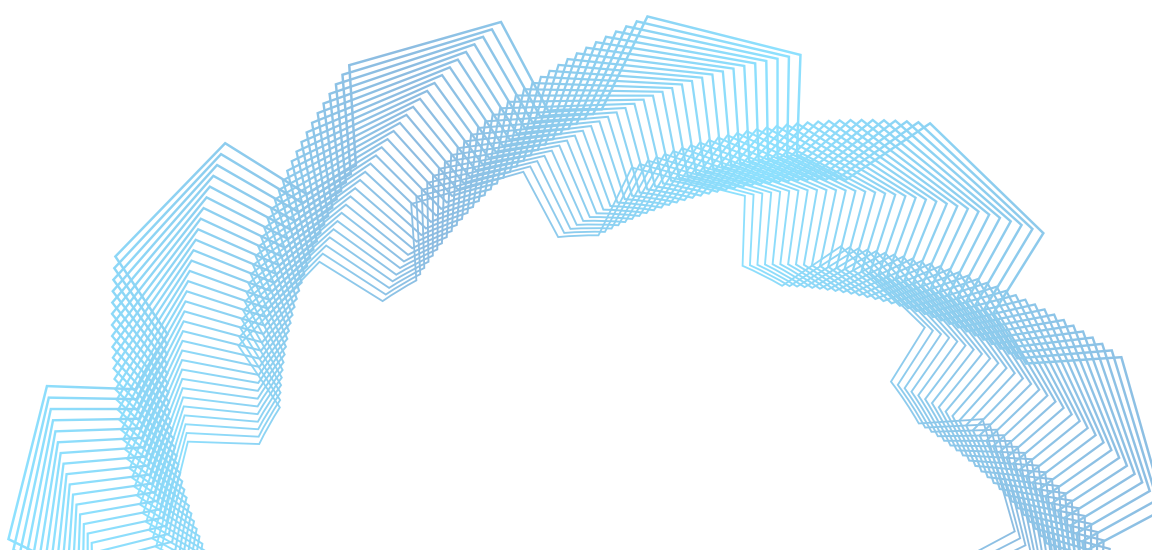


## Unlock Investment Freedom for Your Clients

As a financial advisor, you want to offer your clients the best retirement planning solutions. Many large retirement plans allow participants personalized, custom portfolio management through brokerage window accounts, with Schwab and Fidelity.



By leveraging a **Retirement Plan Brokerage Window Account**, you can help your clients maximize retirement savings while gaining more control over their investments. Freedom Advisors makes it easy to navigate this process, empowering both advisors and clients with the tools they need to succeed.



## Why a Retirement Plan Self-Directed Brokerage Account?

Traditional Retirement Plan plans often limit participants to a pre-selected set of investment options. A **Self-Directed Brokerage Account (SDBA)** expands investment choices, offering:



### Broader Investment Selection

Clients can access a wide range of model portfolios constructed with mutual funds, ETFs, individual stocks, and bonds beyond the plan's core menu.



### Greater Control & Customization

Clients can tailor the portfolio to their risk tolerance and financial goals.



### Enhanced Diversification

More investment choices could help reduce risk and improve portfolio resilience.

## Schwab PCRA & Fidelity BrokerageLink®

We support both Schwab's **Personal Choice Retirement Account® (PCRA)** and Fidelity's **BrokerageLink®**, two of the most trusted brokerage window solutions:

Through both custodians you can access the entire Freedom Model Marketplace. While some plan structures and some plan sponsors limit the types of investments that can be used in a brokerage window account, at Freedom we can guide you through the process and direct you to appropriate solutions for every client.

*charles*  
**SCHWAB**



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## How It Works for Advisors

One of the biggest challenges for advisors is the inability to manage client assets held in workplace retirement plans. A brokerage window solves this problem by allowing advisors to:



### Gain Visibility into Client Accounts

Advisors can manage and monitor a portion of their clients' retirement plan assets.



### Expand Assets Under Management (AUM)

Clients can allocate part of their retirement plan to a managed account, increasing advisor oversight.



### Deliver More Comprehensive Advice

Help clients integrate workplace savings with their overall investment strategy.

## How Freedom Advisors Supports You

At Freedom Advisors, we streamline the process for financial advisors:



### Plan Eligibility Check

Provide us with the name of a client's 401(k), 403(b), 457 or other defined contribution plan, and we will determine if it allows a brokerage window and which custodian(s) provides the service.



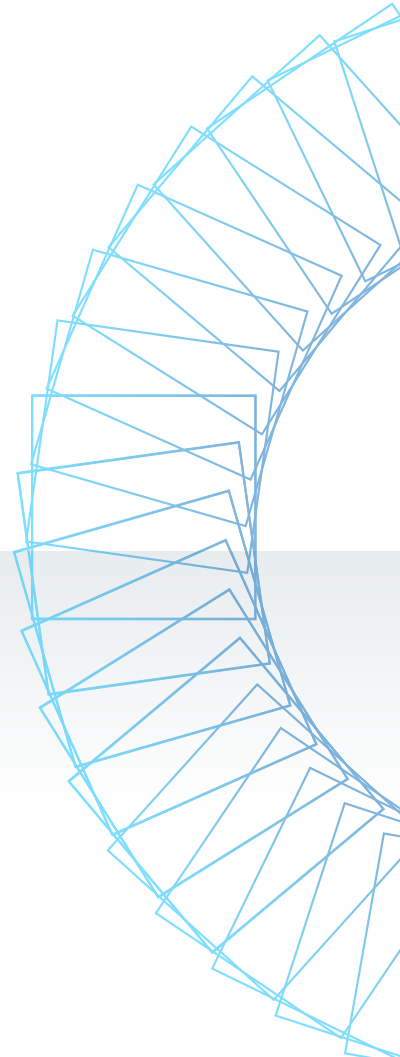
### Seamless Account Management

Our cutting-edge platform integrates with brokerage accounts for easy investment tracking and portfolio optimization.



### Dedicated Support

Our team of experts helps advisors implement and manage brokerage accounts effectively.



## For Your Clients: The Key Benefits

Your valued clients can greatly benefit from a Retirement Plan Self-Directed Brokerage Account:



### Investment Flexibility

Access to thousands of investment options through a curated model marketplace.



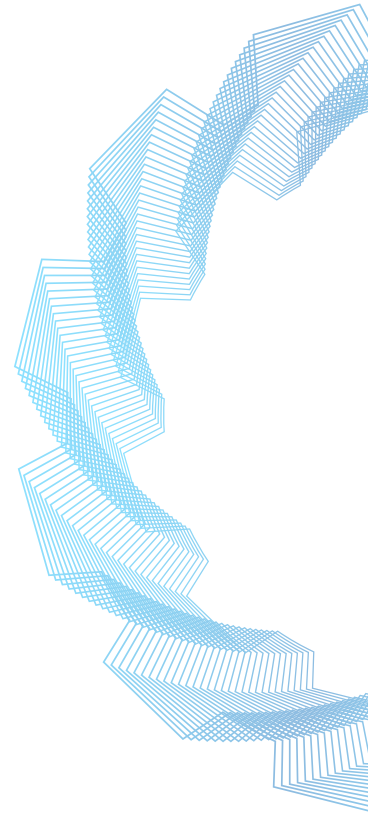
### Tax-Advantaged Growth

Investments grow tax-deferred within the retirement plan structure.



### Professional Management

Advisors can actively manage client retirement investments, aligning them with overall financial goals.



## Get Started Today

Don't let client retirement savings sit idle in limited investment options and disconnected from other household assets. If you're working with clients who have employer-sponsored retirement plans, Freedom Advisors can help you unlock new opportunities.



Contact us today to learn how you can help your clients take advantage of a Retirement Plan Self-Directed Brokerage Account.



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